Integration Guide For Identity Audit

Novell®
Identity Manager

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Contents

About This Guide

Welcome to the *Novell® Identity Manager Integration Guide for Identity Audit*. This guide provides the information necessary to integrate Identity Audit with Identity Manager to provide auditing and reporting services for Identity Manager.

Audience

This guide is intended for network administrators.

Feedback

We want to hear your comments and suggestions about this manual and the other documentation included with this product. Please use the User Comments feature at the bottom of each page of the online documentation, or go to www.novell.com/documentation/feedback.html and enter your comments there.

Documentation Updates

For the most recent version of the *Identity Manager 3.6.1 Integration Guide for Identity Audit*, visit the Identity Manager Documentation Web site (http://www.novell.com/documentation/idm36).

Additional Documentation

For the current Identity Audit documentation, see the Identity Audit Documentation Web site (http://www.novell.com/documentation/novellaudit20/index.html).

Documentation Conventions

In Novell documentation, a greater-than symbol (>) is used to separate actions within a step and items in a cross-reference path.

A trademark symbol (®, ™, etc.) denotes a Novell trademark. An asterisk (*) denotes a third-party trademark.

When a single pathname can be written with a backslash for some platforms or a forward slash for other platforms, the pathname is presented with a backslash. Users of platforms that require a forward slash, such as Linux* or UNIX*, should use forward slashes as required by your software.

Overview

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Adding Identity Audit to you Identity Manager solution provides auditing and reporting services. By adding auditing and reporting, you can demonstrate that the business policies are implemented as designed with your Identity Manager solution.

1.1 Identity Audit Integrated Architecture

The following diagram illustrates the Identity Manager logging and reporting architecture when integrated with Identity Audit.

Figure 1-1 *Identity Manager and Identity Audit Integrated Architecture*
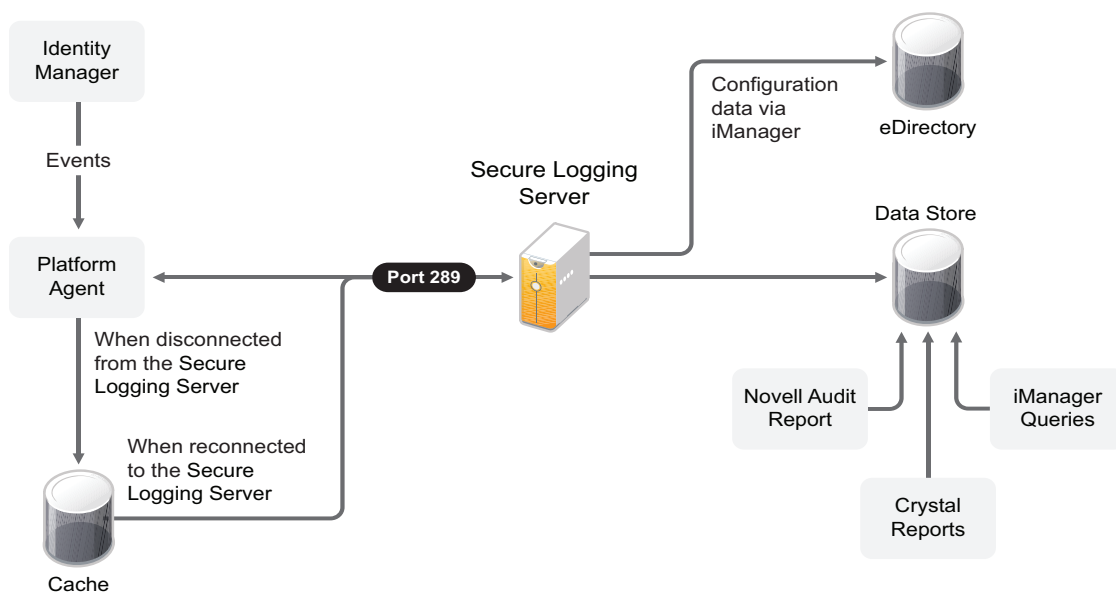
1. An Identity Manager event occurs and it is sent to the Platform Agent. To capture all Identity Manager events, the Platform Agent must be installed and configured on each Identity Manager server.

2. (Conditional) If the Platform Agent cannot connect to the Secure Logging Server, the events are stored in cache until the connection is reestablished.

3. The Platform Agents sends the event to the Secure Logging Server.

4. The Secure Logging Server sends the events to eDirectory™. Through iManager, you configure the objects that store the events.

5. The Secure Logging Server sends the event to the data store, which stores the events. The data store is a database that stores the events until they are needed.

   The stored events are displayed through Identity Audit reports and iManager queries.

For more information about the Identity Audit architecture, see System Architecture (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/aklt4pl.html) in the *Novell Audit 2.0 Administration Guide.*

Installing and Configuring Identity Audit

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In order to audit the Identity Manager events, the Novell® Audit server must be installed into the same eDirectory™ tree. If you already have Identity Audit installed in your eDirectory tree, proceed to Section 2.2, "Configuring the Secure Logging Server," on page 11. If you do not have a Identity Audit server installed, continue with Section 2.1, "Installing Identity Audit," on page 11.

- Section 2.1, "Installing Identity Audit," on page 11
- Section 2.2, "Configuring the Secure Logging Server," on page 11
- Section 2.3, "Configuring the Data Store," on page 11
- Section 2.4, "Configuring System Notifications," on page 11

2.1 Installing Identity Audit

You should install Identity Audit on a different server than the server running Identity Manager. Auditing is an intensive process that depends upon how many events you are auditing and how many drivers are in your environment. For the installation instructions, see *Identity Audit 2.0 Installation Guide* (http://www.novell.com/documentation/novellaudit20/install/data/bktitle.html#bktitle).

2.2 Configuring the Secure Logging Server

Configure the Secure Logging Server to log Identity Manager events. For more information, see "Configuring the Secure Logging Server" (http://www.novell.com/documentation/novellaudit20/novellaudit20/data/al3p1eu.html#al3p1eu) in the *Identity Audit 2.0 Administration Guide*.

2.3 Configuring the Data Store

Configure the data store to store the Identity Manager events. For more information, see "Configuring the Data Store" (http://www.novell.com/documentation/novellaudit20/novellaudit20/data/al4gkai.html#al4gkai) in the *Identity Audit 2.0 Administration Guide*.

2.4 Configuring System Notifications

Identity Audit provides the ability to send a notification when a specific event occurs or does not occur. Notifications can be sent based on any value in one or more events. Notifications can be sent to any logging channel, enabling you to log notifications to a database, a Java* application or SNMP management system, or several other locations. For details on creating Identity Audit notifications based on Identity Manager events, see "Configuring Filters and Event Notifications" (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al0lg08.html#al0lg08) in the *Identity Audit 2.0 Administration Guide*.

Installing and Configuring the Platform Agent

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The `logevent` Platform Agent is the client portion of the Identity auditing system. It receives logging information and system requests from Identity Manager and transmits the information to Identity Audit.

3.1 Installing the Platform Agent

The Platform Agent is automatically installed if either the Novell *Identity Manager Metadirectory Server* or *Novell Identity Manager Connected System* option is selected during the Identity Manager installation. For more information on the Identity Manager installation, see the *Identity Manager 3.6.1 Installation Guide*.

IMPORTANT: The Platform Agent must be configured for every server running Identity Manager if you want to log Identity Manager events.

3.2 Configuring the Platform Agent

After you install Identity Manager, you can configure the Platform Agent. The Platform Agent's configuration settings are stored in a simple, text-based `logevent` configuration file. By default, `logevent` is located in the following directories:

Table 3-1 *Platform Agent Configuration File*

Operating System	File
Linux	/etc/logevent.conf
Solaris*	/etc/logevent.conf
Windows*	*Windows_Directory*\logevent.cfg
	The `Windows_Directory` is usually *drive*:\windows.

The following is a sample `logevent.cfg` file.

```
LogHost=127.0.0.1
LogCacheDir=c:\logcache
LogCachePort=288
LogEnginePort=289
LogCacheUnload=no
LogReconnectInterval=600
LogDebug=never
LogSigned=always
```

The entries in the logevent file are not case sensitive, entries can appear in any order, empty lines are valid, and any line that starts with a hash (#) is commented out.

The following table provides an explanation of each setting in the logevent file.

IMPORTANT: You must restart the Platform Agent any time you make a change to the configuration.

Table 3-2 *logevent Settings*

Setting	Description
LogHost=*dns_name*	The hostname or IP address of the Identity Audit Secure Logging Server where the Platform Agent sends events.
	In an environment where the Platform Agent connects to multiple hosts—for example, to provide load balancing or system redundancy—separate the IP address of each server with commas in the LogHost entry. For example,
	`LogHost=192.168.0.1,192.168.0.3,192.168.0.4`
	The Platform Agent connects to the servers in the order specified. If the first logging server goes down, the Platform Agent tries to connect to the second logging server, and so on.
	For more information on configuring multiple hosts, see Configuring Multiple Secure Logging Servers (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al3p1eu.html) in the *Novell Audit 2.0 Administration Guide*.
LogCacheDir=*path*	The directory where the Platform Agent stores the cached event information if the Identity Audit Secure Logging Server becomes unavailable.
LogEnginePort=*port*	The port at which the Platform Agent can connect to the Identity Audit Secure Logging Server. By default, this is port 289.
LogCachePort=*port*	The port at which the Platform Agent connects to the Logging Cache Module.
	If the connection between the Platform Agent and the Secure Logging Server fails, Identity Manager continues to log events to the local Platform Agent. The Platform Agent simply switches into Disconnected Cache mode; that is, it begins sending events to the Logging Cache module (`lcache`). The Logging Cache module writes the events to the Disconnected Mode Cache until the connection is restored.
	When the connection to the Identity Audit Secure Logging Server is restored, the Logging Cache Module transmits the cache files to the Secure Logging Server. To protect the integrity of the data store, the Secure Logging Server validates the authentication credentials in each cache file before logging its events.
LogCacheUnload=Y|N	Set the parameter to `N` to prevent `lcache` from being unloaded.
LogCacheSecure=Y|N	Set the parameter to `Y` to encrypt the local cache file.

Setting	Description
LogReconnectInterval=*seconds*	The interval, in seconds, at which the Platform Agent and the Platform Agent Cache try to reconnect to the Identity Audit Secure Logging Server if the connection is lost.
LogDebug=Never|Always|Server	The Platform Agent debug setting. ◆ Set to `Never` to never log debug events. ◆ Set to `Always` to always log debug events. ◆ Leave out or set to `Server` to use the default setting provided by the *Log Debug Events* attribute in the Identity Audit Secure Logging Server *Configuration* page. **NOTE:** The `Server` option applies only to Identity Audit systems.
LogSigned=Never|Always|Server	The signature setting for Platform Agent events. ◆ Set to `Never` to never sign or chain events. ◆ Set to `Always` to always log events with a digital signature and to sequentially chain events. ◆ Leave out, or set to `Server` to use the default setting provided by the Sign Events attribute in the Identity Audit Secure Logging Server Configuration page. For more information on event signatures, see Signing Events (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/am8ewzb.html) in the *Novell Audit 2.0 Administration Guide*.
LogMaxBigData=*bytes*	The maximum size of the event data field. The default value is 3072 bytes. Set this value to the maximum number of bytes the client allows. Data that exceeds the maximum is truncated or not sent if the application doesn't allow truncated events to be logged.
LogMaxCacheSize=*bytes*	The maximum size, in bytes, of the Platform Agent cache file.
LogCacheLimitAction=stop logging|drop cache	The action that you want the cache module to take when it reaches the maximum cache size limit. ◆ Set to `stop logging` if you want to stop collecting new events. ◆ Set to `drop cache` if you want to delete the cache and start over with any new events that are generated.

For complete information on the Identity Audit Platform Agent, see Configuring the Platform Agent (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al36zjk.html) in the *Novell Audit 2.0 Administration Guide*.

Managing Identity Manager Events

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The event information sent to Novell® Audit ® is managed through product-specific instrumentations, or plug-ins. The Identity Manager Instrumentation allows you to configure which events are logged to your data store. You can select predefined log levels, or you can individually select the events you want to log. You can also add user-defined events to the Identity Manager schema.

The following sections review how to manage Identity Manager events:

4.1 Selecting Events to Log

The Identity Manager Instrumentation allows you to select events to be logged for a driver set, a specific driver, or for the User Application. The User Application consists of the User Application driver, the Role Based Provisioning driver, and workflows.

NOTE: Drivers can inherit logging configuration from the driver set.

The following sections document how to select events for the User Application, driver set, or a specific driver:

4.1.1 Selecting Events for the User Application

The User Application enables you to change the log level settings of individual loggers and enable logging to the Identity Audit Platform Agent:

1 Log in to the User Application as the User Application Administrator.

2 Select the *Administration* tab.

3 Select the *Logging* link.

The Logging Configuration page appears.
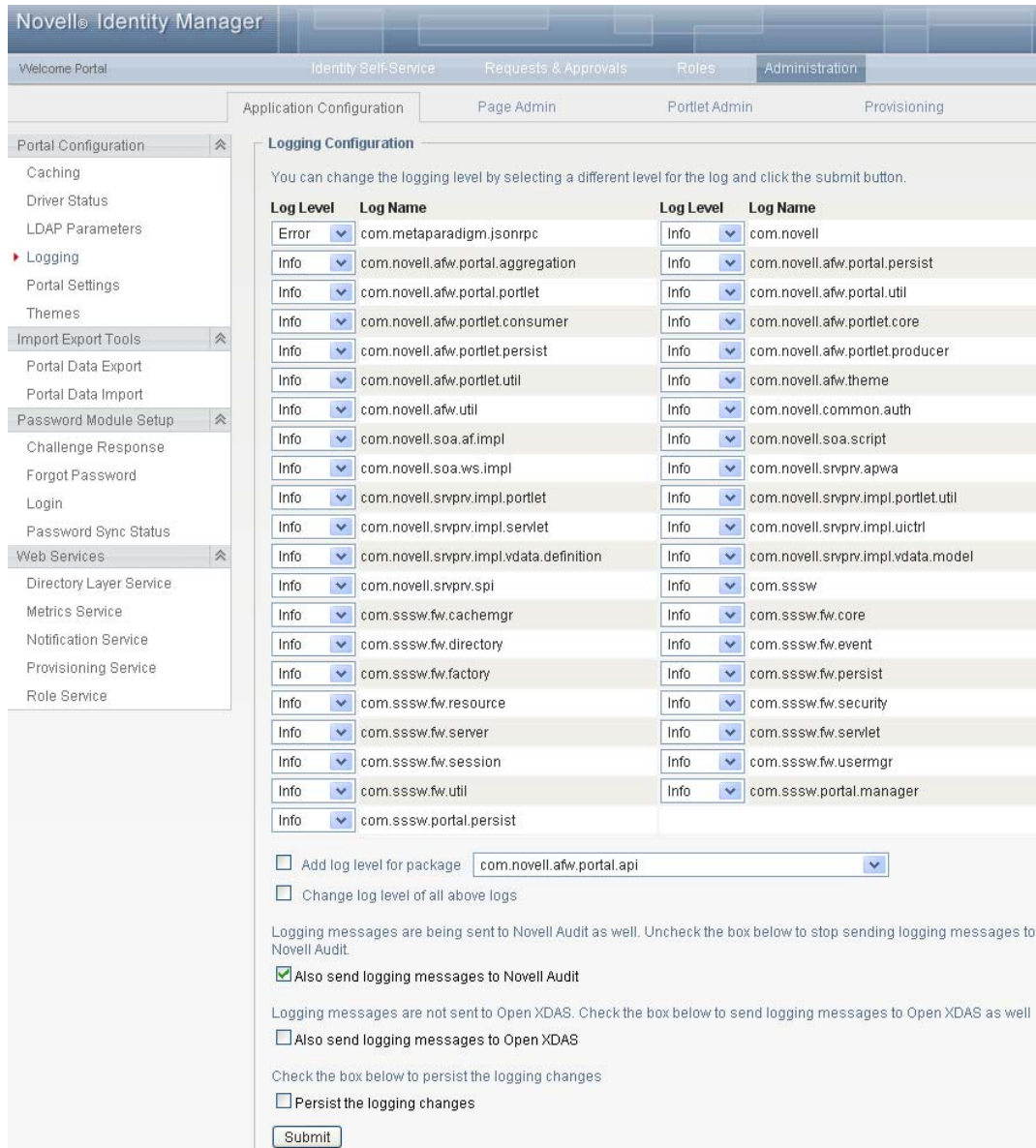
4 Select one of the following log levels for the listed logs.

Log Level	Description
Fatal	Writes Fatal level messages to the log.
Error	Writes Fatal and Error level messages to the log.
Warn	Writes Fatal, Error, and Warn level messages to the log.
Info	Writes Fatal, Error, Warn, and Info level messages to the log.
Debug	Writes Fatal, Error, Warn, Info, and debugging information to the log.
Trace	Writes Fatal, Error, Warn, Info, debugging, and tracing information to the log.

5 Select the *Also send logging messages to Identity Audit* check box to send the events to the Platform Agent.

6 (Optional) Select *Also send logging messages to Open XDAS*, if you want to send the messages to Open XDAS.

For this option to work, you must select the open XDAS option during the installation of the User Application. For more information, see the *User Application Installation Guide* (http://www.novell.com/documentation/idmrbpm361/index.html).

7 To save the changes for any subsequent User Application server restarts, select *Persist the logging changes*.

8 Click *Submit*.

The User Application logging configuration is saved in `installdir`/jboss/server/IDMProv/conf/idmuserapp_logging.xml.

4.1.2 Selecting Events for the Driver Set

1 In iManager, select *Identity Manager > Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set object in the list of driver sets, then click *Driver Set > Edit Driver Set properties*.

4 Select the *Log Level* tab, then select a log level for the driver set.

For an explanation of each log level, see "Identity Manager Log Levels" on page 20.

5 Click *Apply* or *OK* to save your changes.

NOTE: Changes to configuration settings are logged by default.

4.1.3 Selecting Events for a Specific Driver

1 In iManager, select *Identity Manager* > *Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set to view the driver set overview page.

4 Click the upper right corner of the driver icon, then select *Edit properties*.

5 Select *Log Level* on the driver's properties page.

6 (Optional) By default, the Driver object is configured to inherit log settings from the Driver Set object. To log events for this driver only, deselect *Use log settings from the Driver Set*.
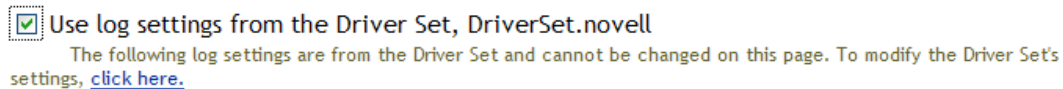
7 Select a log level for the current driver.

For an explanation of each log level, see "Identity Manager Log Levels" on page 20.

8 Click *Apply* or *OK* to save your changes.

NOTE: Changes to configuration settings are logged by default.

4.1.4 Identity Manager Log Levels

The following table provides an explanation of the Identity Manager Instrumentation log levels:

Table 4-1 *Identity Manager Instrumentation Log Levels*

Option	Description
Log errors	This is the default log level. The Identity Manager Instrumentation logs user-defined events and all events with an error status. You receive only events with a decimal ID of 196646 and an error message stored in the Text1 field.

Option	Description
Log errors and warnings	The Identity Manager Instrumentation logs user-defined events and all events with an error or warning status.
	You receive only events with a decimal ID of 196646 or 196647 and an error or warning message stored in the first text field.
Log specific events	This option allows you to select the Identity Manager events you want to log.
	Click to select the specific events you want to log. After you select the events you want to log, click *OK*.
	NOTE: User-defined events are always logged.
	For a list of all available events, see Appendix A, "Identity Manager Events," on page 39.
Only update the last log time	The Identity Manager Instrumentation logs only user-defined events.
	When an event occurs, the last log time is updated so you can view the time and date of the last error in the status log.
Logging off	The Identity Manager Instrumentation logs only user-defined events.
Turn off logging to DriverSet, Subscriber and Publisher logs	Turns off logging to the Driver Set object, Subscriber, and Publisher logs.
Maximum Number of Entries in the Log	This setting allows you to specify the maximum number of entries to log in the status logs. See Section 5.2, "Viewing Status Logs," on page 29 for details.

4.2 User-Defined Events

Identity Manager enables you to configure your own events to log to Identity Audit. Events can be logged by using an action in the Policy Builder, or within a style sheet. Any information you have access to when defining policies can be logged.

User-defined events are logged any time logging is enabled and are never filtered by the Metadirectory engine. There are two different ways to generate events:

- Section 4.2.1, "Using Policy Builder to Generate Events," on page 21
- Section 4.2.2, "Using Status Documents to Generate Events," on page 24

4.2.1 Using Policy Builder to Generate Events

1 In the Policy Builder, define the condition that must be met to generate the event, then select the *Generate Event* action.

2 Specify an event ID.

Event IDs between 1000 and 1999 are allotted for user-defined events. You must specify a value within this range for the event ID when defining your own events. This ID is combined with the Identity Manager application ID of 003.

3 Select a log level.

Log levels enable you to group events based on the type of event being logged. The following predefined log levels are available:

Log Level	Description
log-emergency	Events that cause the Metadirectory engine or driver to shut down.
log-alert	Events that require immediate attention.
log-critical	Events that can cause parts of the Metadirectory engine or driver to malfunction.
log-error	Events describing errors that can be handled by the Metadirectory engine or driver.
log-warning	Negative events not representing a problem.
log-notice	Positive or negative events an administrator can use to understand or improve use and operation.
log-info	Positive events of any importance.
log-debug	Events of relevance for support or for engineers to debug the Metadirectory engine or driver.

4 Click the ▣ icon next to the *Enter strings* field to launch the Named String Builder.

In the Named String Builder, you can specify the string, integer, and binary values to include with the event.

5 Use the Named String Builder to define the event values.
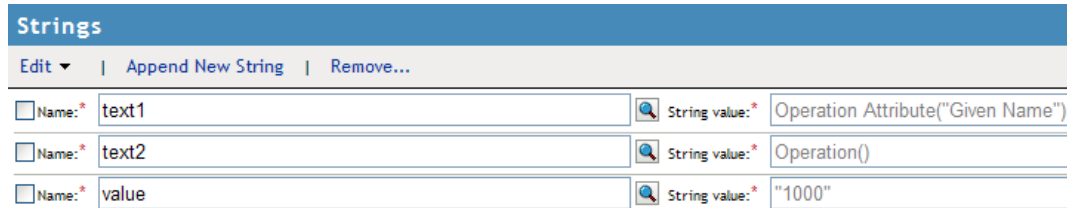
The Identity Manager event structure contains a target, a subTarget, three strings (text1, text2, text3), three integers (value1, value2, value3), and a generic field (data). The text fields are limited to 256 bytes, and the data field can contain up to 3 KB of information, unless a larger data field is enabled in your environment.

The following table provides an explanation of the Identity Manager event structure:

Field	Description
target	This field captures the event target.
	All Identity Manager events store the event's object in the *Target* field.
target-type	This field specifies which predefined format the target is represented in. Defined values for this type are as follows:
	◆ 0: None
	◆ 1: Slash Notation
	◆ 2: Dot Notation
	◆ 3: LDAP Notation
subTarget	This field captures the subcomponent of the target that was affected by the event.
	All Identity Manager events store the event's attribute in the *SubTarget* field.
text1	The value of this field depends upon the event. It can contain any text string up to 255 characters.
	NOTE: The *Text1* field is vital to the function of the Identity Audit CVR driver. The CVR driver looks in the event's *Text1* and *Text2* fields to identify the defined attribute and object for a given policy. For more information, see CVR (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al6uwy3.html) in the *Novell Audit 2.0 Administration Guide*.
text2	The value of this field depends upon the event. It can contain any text string up to 255 characters.
	NOTE: The *Text2* field is vital to the function of the Identity Audit CVR driver. The CVR driver looks in the event's *Text1* and *Text2* fields to identify the defined attribute and object for a given policy. For more information, see CVR (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al6uwy3.html) in the *Novell Audit 2.0 Administration Guide*.
text3	The value of this field depends upon the event. It can contain any text string up to 255 characters.
value1	The value of this field depends upon the event. It can contain any numeric value up to 32 bits.
value2	The value of this field depends upon the event. It can contain any numeric value up to 32 bits.
value3	The value of this field depends upon the event. It can contain any numeric value up to 32 bits.

Field	Description
data	The value of this field depends upon the event. The default size of this field is 3072 characters.
	You can configure the size of this field in the LogMaxBigData value in `logevent.cfg`. This value does not set the size of the *Data* field, but it does set the maximum size that the Platform Agent can log. For more information, see Chapter 3, "Installing and Configuring the Platform Agent," on page 13.
	The maximum size of the *Data* field is defined by the database where the data is logged, so the size varies for each database that is used. If the size of the *Data* field logged by the Platform Agent exceeds the maximum size allowed by the database, the channel driver truncates the data in the *Data* field.
	If an event has more data than can be stored in the *String* and *Numeric* value fields, it is possible to store up to 3 KB of binary data in the *Data* field.

6 Click *OK* to return to the Policy Builder to construct the remainder of your policy.

For more information and examples of the Generate Event action, see "Generate Event" in the *Policies in Designer 3.5* guide.

4.2.2 Using Status Documents to Generate Events

Status documents generated through style sheets using the `<xsl:message>` element are sent to Identity Audit with an event ID that corresponds to the status document level attribute. The level attributes and corresponding event IDs are defined in the following table:

Table 4-2 *Status Documents*

Status Level	Status Event ID
Success	EV_LOG_STATUS_SUCCESS (1)
Retry	EV_LOG_STATUS_RETRY (2)
Warning	EV_LOG_STATUS_WARNING (3)
Error	EV_LOG_STATUS_ERROR (4)
Fatal	EV_LOG_STATUS_FATAL (5)
User Defined	EV_LOG_STATUS_OTHER (6)

The following example generates an event 0x004 and value1=7777, with a level of EV_LOG_STATUS_ERROR:

```
<xsl:message>
   <status level="error" text1="This would be text1" value="7777">This data
would be in the blob and in text 2, since no value is specified for text2 in
the attributes.</status>
</xsl:message>
```

The following example generates a Identity Audit event 0x004 and value1=7778, with a level of EV_LOG_STATUS_ERROR:

```
<xsl:message>
   <status level="error" text1="This would be text1" text2="This would be
text2" value1="7778">This data would be in the blob only for this case, since
a value for text2 is specified in the attributes.</status>
</xsl:message>
```

4.3 eDirectory Objects that Store Identity Manager Event Data

The Identity Manager events you want to log are stored in the DirXML-LogEvent attribute on the driver set or the driver. The attribute is a multi-value integer with each value identifying an event ID to be logged.

You do not need to modify these attributes directly, because these objects are automatically configured based on your selections in iManager.

Before logging an event, the engine checks the current event type against the contents of the DirXML-LogEvent attribute to determine whether the event should be logged.

Drivers can inherit log settings from the driver set. The DirXML-DriverTraceLevel attribute of a driver has the highest precedence when determining log settings. If a driver does not contain a DirXML-DriverTraceLevel attribute, the engine uses the log settings from the parent driver set.

Using Status Logs

In addition to the functionality provided by Novell® Audit, Identity Manager logs a specified number of events on the driver set and the driver. These status logs provide a view of recent Identity Manager activity. After the log reaches the set size, the oldest half of the log is permanently removed to clear room for more recent events. Therefore, any events you want to track over time should be logged to Identity Audit.

The following sections contain information on the Identity Manager logs:

- Section 5.1, "Setting the Log Level and Maximum Log Size," on page 27
- Section 5.2, "Viewing Status Logs," on page 29

5.1 Setting the Log Level and Maximum Log Size

Status logs can be configured to hold between 50 and 500 events. This setting can be configured for the driver set to be inherited by all drivers in the driver set, or configured for each driver in the driver set. The maximum log size operates independently of the events you have selected to log, so you can configure the events you want to log for the driver set, then specify a different log size for each driver in the set.

This section reviews how to set the maximum log size on the driver set or an individual driver:

- Section 5.1.1, "Setting the Log Level and Log Size for the Driver Set," on page 27
- Section 5.1.2, "Setting the Log Level and Log Size for the Driver," on page 28

5.1.1 Setting the Log Level and Log Size for the Driver Set

1 In iManager, select *Identity Manager > Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set name to access the driver set overview page.

4 Select *Driver Set > Edit Driver Set properties*.
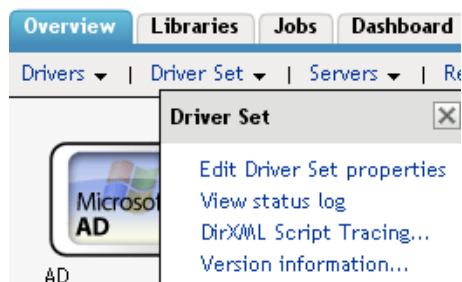
5 Select *Log Level*.

Log Level

○ Log errors
○ Log errors and warnings
○ Log specific events
○ Only update the last log time
○ Logging off

☐ Turn off logging to Driver Set, Subscriber and Publisher logs.

Maximum number of entries in the log (50 - 500): 50

6 Specify the maximum log size in the *Maximum number of entries in the log* field:

Maximum number of entries in the log (50 - 500): 50

7 After you have specified the maximum number, click *OK*.

5.1.2 Setting the Log Level and Log Size for the Driver

1 In iManager select *Identity Manager > Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set to access the driver set overview page.

4 Click the upper right corner of the driver icon, then select *Edit properties*.

Overview	Libraries	Jobs	Dashbo

Drivers ▾ | Driver Set ▾ | Servers ▾

Actions ☒
Start driver
Get current status
Edit properties
Health configuration
Statistics
Delete driver
Open Driver Overview

Microsoft AD

AD

ID Provider
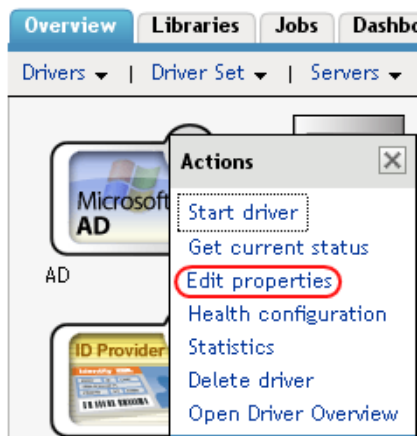
5 Select *Log Level*.

6 Deselect *Use log settings from the driver set* option, if it is selected.

7 Specify the maximum log size in the *Maximum number of entries in the log* field:

Maximum number of entries in the log (50 - 500): 50

8 After you have specified the maximum number, click *OK*.

5.2 Viewing Status Logs

The status logs are short-term logs for the driver set, the Publisher channel, and the Subscriber channel. They are accessed through different locations in iManager.

- Section 5.2.1, "Accessing the Driver Set Status Log," on page 29
- Section 5.2.2, "Accessing the Publisher Channel and Subscriber Channel Status Logs," on page 30

5.2.1 Accessing the Driver Set Status Log

The status log for the driver set contains only messages generated by the engine, such as state changes for any drivers in the driver set. All engine messages are logged. There are two ways to access the driver set status log:

- "Viewing the Log from the Driver Set Overview Page" on page 29
- "Viewing the Log from the Driver Overview Page" on page 29

Viewing the Log from the Driver Set Overview Page

1 In iManager, select *Identity Manager > Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set to access the driver set overview page.

4 Select *Driver Set > View status log*.
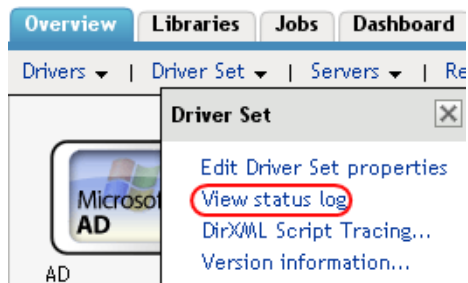
Viewing the Log from the Driver Overview Page

1 In iManager, select *Identity Manager > Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set to access the driver set overview page, then click any driver.

The status log for the driver is stored on the driver overview page for each driver.

4 Click the Driver Set Status Log icon above the driver object.
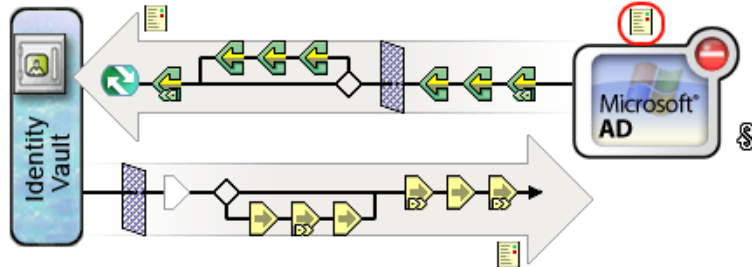
5.2.2 Accessing the Publisher Channel and Subscriber Channel Status Logs

The status logs for the Publisher and Subscriber channels report channel-specific messages generated by the driver, such as an operation veto for an unassociated object.

To access the Publisher channel and the Subscriber channel logs:

1 In iManager, select *Identity Manager > Identity Manager Overview*.

2 Browse to and select the driver set.

3 Click the driver set to access the driver set overview page.

4 Click the desired driver object.

5 Click the Publisher channel or the Subscriber channel status log icon.
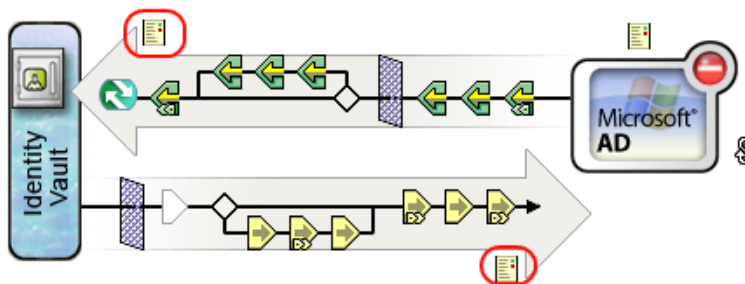
Securing the Connection with Identity Audit

6

Identity Audit utilizes SSL certificates to ensure that communications between a logging application and the Secure Logging Server are secure. By default, the Secure Logging Server utilizes an embedded root certificate generated by an internal Identity Audit Certificate Authority (CA). Also, by default, the Identity Manager Instrumentation utilizes a public certificate that is signed by the Secure Logging Server root certificate. You can, however, configure Identity Audit to use certificates generated by an external CA.

The following sections review how to use custom certificates to secure the connection between Identity Manager and Identity Audit:

- Section 6.1, "Updating the Identity Audit Certificate Infrastructure," on page 31
- Section 6.2, "The Identity Audit AudCGen Utility," on page 32
- Section 6.3, "Creating a Root Certificate for the Secure Logging Server," on page 35
- Section 6.4, "Creating Logging Application Certificates," on page 36
- Section 6.5, "Validating Certificates," on page 37
- Section 6.6, "Securing Custom Certificates," on page 38

6.1 Updating the Identity Audit Certificate Infrastructure

You can change the internal Identity Audit CA and embedded product certificates to certificates signed by your enterprise CA so you can integrate Identity Audit with your enterprise security infrastructure.

WARNING: Although the process of using certificates signed by external CAs is relatively simple, the consequences of failing to change all required components are serious. Logging applications might fail to communicate with your Secure Logging Server, so events are not recorded.

To update your Identity Audit certificate infrastructure with a custom certificate:

1 Identify all Secure Logging Servers and Identity Manager servers where certificates are located.

2 Use AudCGen to generate a CSR for the Secure Logging Server.

For information on generating a CSR with AudCGen, see "Creating Logging Application Certificates" on page 36.

3 Have the CSR signed by your enterprise CA.

If necessary, convert the returned certificate to a Base64-encoded `.pem` file.

4 Shut down all Secure Logging Servers and Identity Manager servers.

5 Delete and purge all application cache (`lcache`) files.

6 In iManager, update the *Secure Logging Certificate File* and *Secure Logging Privatekey File* properties in the Secure Logging Server configuration to point to the new, signed root certificate key pair:

    6a In iManager select *Auditing and Logging > Logging Server Options > Modify Object for Logging Server.*

       The *Logging Server Options* has *Channels, Notifications*, and *Log Applications* options.

    6b Select the *Configuration* option.

    6c Update the path in the *Secure Logging Certificate File* field.

    6d Update the path in the *Secure Logging Privatekey File* field, then click *OK* to save the changes.

       For more information on the Secure Logging Server configuration, see Logging Server Object Attributes (http://www.novell.com/documentation/novellaudit20/ index.html?page=/documentation/novellaudit20/novellaudit20/data/al3p1eu.html) in the *Novell Audit 2.0 Administration Guide*.

7 Use AudCGen to generate a new public certificate for Identity Manager.

IMPORTANT: The certificate signed by your enterprise CA must be used as the authoritative root certificate.

For information on generating a certificate for Identity Manager, see "Creating Logging Application Certificates" on page 36.

8 Update the Identity Manager Instrumentation so it uses the public certificate signed by the Secure Logging Server's root certificate key pair. For more information, see "Enabling the Identity Manager Instrumentation to Use a Custom Certificate" on page 37.

9 Restart eDirectory™ or the Remote Loader.

After you update your Identity Audit certificate infrastructure with a custom certificate, the only required maintenance is to update the certificate when it expires.

6.2 The Identity Audit AudCGen Utility

IMPORTANT: There are many versions of the AudCGen utility. This section documents the version of AudCGen that is available with Identity Audit 2.0.2 FP2. If you are using a different version of AudCGen, refer to the help file for that version.

The AudCGen utility must be used to create and sign Identity Audit certificates. The following table describes the AudCGen command parameters:

Table 6-1 AudCGen Command Parameters

Parameter	Description
app	Generates a certificate key pair for instrumented applications.
	It creates the `/app_cert.pem` and `/app_pkey.pem` files.

Parameter	Description
–appcert:*filename*	The output path and filename for the logging application's certificate.
	The default filename is `app_cert.pem`. The default path is platform-specific and can be changed by using the `-base` parameter.
–apppkey:*filename*	The output path and filename for the logging application's private key.
	The default filename is `app_pkey.pem`. The default path is platform-specific and can be changed by using the `-base` parameter.
–base	The base path used when reading from or writing to files.
	The default path is platform-specific.
–bits:*RSA_key_size*	The number of encryption bits used during certificate creation.
	Values of 384-4096 are accepted. The default value is 1024.
–cacert:*filename*	The path and filename to the public certificate used by the Identity Audit Secure Logging Server. The Secure Logging Server's certificate key pair must be provided when generating a certificate key pair for a logging application.
	The default filename is `ca_cert.pem`. The default path is platform-specific and can be changed by using the –base parameter.
–capkey:*filename*	The path and filename to the private key used by the Identity Audit Secure Logging Server. The Secure Logging Server certificate key pair must be provided when generating a certificate key pair for a logging application.
	The default filename is `ca_pkey.pem`. The default path is platform-specific and can be changed by using the –base parameter.
csr:*filename*	Generates a Certificate Signing Request (CSR) for the Identity Audit Secure Logging Server that can be signed by a third-party CA. It also generates the certificate private key.
	The default CSR filename is `ca_csr.pem`. The default private key filename is `ca_pkey.pem`. The default path is platform-specific and can be changed by using the –base parameter.
–csrfile:*filename*	The filename of the CSR for the Identity Audit Secure Logging Server.
	The default CSR filename is `ca_csr.pem`.
–csrpkey:*filename*	The filename of the private key used with the signed CSR for the Identity Audit Secure Logging Server.
	The default private key filename is `ca_pkey.pem`

Parameter	Description
–f	Force overwrite.
	AudCGen overwrites any existing certificates or private keys of the same name (for example, `app_cert.pem` or `appp_key.pem`) in the output directory.
	This parameter is optional.
	If you do not use the -f parameter and there is an existing file, AudCGen aborts creation of the certificate.
–h|?	Provides the AudCGen help screen.
–name:application_identifier	**IMPORTANT:** This parameter is required when creating certificates for logging applications like Identity Manager.
	The logging application's application identifier.
	The application identifier is the application name that appears in the first line of the application's corresponding `.lsc` file.
	NOTE: This value matches the Application Identifier stored in Identity Manager's Application object.
	For example, the first line of the `.lsc` file for Identity Manager is
	`#^Identity Manager^0003^DirXML^EN`
	The application identifier is the name after the third carat in this line.
	The application identifier for Identity Manager is DirXML.
–sn:*number*	This parameter creates a serial number for the generated certificate. This can be useful in maintaining and tracking your system's certificates.
	This parameter is optional.
ss	Generates a self-signed root certificate key pair for the Identity Audit Secure Logging Server. This option uses the internal Identity Audit CA.
	NOTE: Do not use this option if you want to use a certificate signed by a third-party CA.
–valid:*number*	Specifies the number of days for which the generated public certificate will be valid (in days).
	The default value is 10 years.
–verbose	Displays the contents of the certificates.

Parameter	Description
verify	Verifies the certificate signing chain between the root certificate used by the Secure Logging Server and Identity Manager certificates.
	NOTE: This option performs only partial verification when verifying third-party certificates. For additional information, see "Validating Certificates" on page 37.

6.3 Creating a Root Certificate for the Secure Logging Server

The certificate key pair used by the Secure Logging Server is the logging system's Certificate Authority (CA); that is, it is the trusted root certificate that is used to validate all other Identity Audit logging application certificates. By default, this certificate is self-signed. However, you can use a certificate signed by a third-party CA.

The following sections review the process required to generate a self-signed root certificate and how to use a third-party root certificate for the Secure Logging Server.

- Section 6.3.1, "Creating a Self-Signed Root Certificate for the Secure Logging Server," on page 35
- Section 6.3.2, "Using a Third-Party Root Certificate for the Secure Logging Server," on page 35

6.3.1 Creating a Self-Signed Root Certificate for the Secure Logging Server

To generate a self-signed root certificate for the Secure Logging Server by using the internal Identity Audit CA, use the following AudCGen command:

```
audcgen ss [-cacert:filename] [-capkey:filename] [-bits:number] [-f]
```

For example:

```
audcgen ss -cacert:slscert.pem -capkey:slspkey.pem -bits:512 -f
```

The -ss parameter creates a self-signed root certificate that can then be used to generate the certificate key pair for each logging application. For more information on generating the key pair, see "Creating Logging Application Certificates" on page 36.

6.3.2 Using a Third-Party Root Certificate for the Secure Logging Server

To use a certificate signed by a third-party CA, you must do the following:

1 Use AudCGen to generate a CSR that can be signed by a third-party CA:

The command syntax is as follows:

```
audcgen csr [-csrfile:filename] [-csrpkey:filename]
[-bits:RSA_key_size]
```

For example:

```
audcgen csr -bits:512 -csrfile:slscsr.pem -csrpkey:slspkey.pem
```

For more information, see Section 6.2, "The Identity Audit AudCGen Utility," on page 32.

2 Take the slscsr.pem file and submit it to a third-party CA for signature, or sign it by using your internal certificate server.

IMPORTANT: The Identity Audit Secure Logging Server requires two Base64-encoded .pem files: one for the public certificate and one for the private key. Some CAs might generate files that require additional conversion steps.

3 Configure the Secure Logging Certificate File and Secure PrivateKey File attributes on the Logging Server object to enable the Secure Logging Server to use the third-party certificate and private key.

For more information, see Logging Server Object Attributes (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al3p1eu.html) in the *Novell Audit 2.0 Administration Guide*.

4 Use the Secure Logging Server's third-party certificate to generate the certificate key pair for each logging application.

For more information on this procedure, see "Creating Logging Application Certificates" on page 36.

IMPORTANT: If you use a third-party certificate, your logging applications can no longer communicate with the Secure Logging Server by using their default certificates. You must create a new certificate key pair for each logging application by using AudCGen and the new root certificate key pair.

6.4 Creating Logging Application Certificates

IMPORTANT: In Identity Audit, all logging application certificates must be signed by the Secure Logging Server root certificate and they must contain an Application Identifier.

The following AudCGen command generates a public certificate and private key for your logging application:

```
audcgen app [cacert:filename] [-capkey:filename] [-appcert:filename]
[-apppkey:filename] -name:application_identifier
[-bits:RSA_key_size] [-sn:number] [-valid:number] [-f]
```

NOTE: This command is used to generate logging application certificates by using either the internal Identity Audit CA or one signed by a third-party CA. Use the -cacert and -capkey parameters to specify the root certificate used by your Secure Logging Server.

The following sample command creates a logging application certificate for Identity Manager:

```
audcgen app -cacert:slscert.pem -capkey:slspkey.pem
-appcert:IDMcert.pem -apppkey:IDMpkey.pem -name:DirXML -bits:512
-sn:123
```

For more information, see Section 6.2, "The Identity Audit AudCGen Utility," on page 32.

6.4.1 Enabling the Identity Manager Instrumentation to Use a Custom Certificate

To enable the Identity Manager Instrumentation to use a custom certificate key pair, the path and filename for the certificate and private key files must be as follows:

Table 6-2 *Identity Manager Certificate and Key Paths and Filenames*

Platform	Certificate Path and Filename	PrivateKey Path and Filename
Windows	*windows_directory*\dxicert.pem	*windows_directory*\dxipkey.pem
Linux and Solaris	/etc/dxicert.pem	/etc/dxipkey.pem

NOTE: If you are using the pure Java remote loader (`dirxml_jremote`), the above locations work. However, if `dirxml_jremote` is running on a non-UNIX-like platform, you must add the following to the Java invocation line in the `dirxml_jremote` script:

```
-Dnovell.dirxml.remoteloader.audit_key_directory=<directory_name>
```

6.5 Validating Certificates

In Identity Audit, all logging application certificates must be signed by the Secure Logging Server root certificate and they must contain an application identifier.

Use the following AudCGen command to determine whether a certificate is valid:

```
audcgen -cacert:filename -capkey:filename -verify -appcert:filename
```

When you use the `-verify` command, AudCGen checks the integrity of the target certificate. It determines if the target certificate is derived from the Secure Logging Server root certificate (trusted) and returns the logging application's application identifier.

The following sample command verifies the certificate for the Identity Manager Instrumentation:

```
audcgen -cacert:cacert.pem –capkey:capkey.pem -verify
-appcert:c:\windows\dxicert.pem
```

For more information, see

NOTE: Identity Audit 2.0.2 verifies only the Secure Logging Server and logging application certificates. It does not verify any other certificates in the certificate chain. Consequently, if the third-party CA expires or invalidates the Secure Logging Server certificate, AudCGen does not identify the problem in the certificate chain and still trusts the Secure Logging Server root certificate and its associated logging application certificates.

6.6 Securing Custom Certificates

If you generate a custom certificate and private key for the Identity Manager Instrumentation, it is important to protect them because the location and name of the custom certificates are hardcoded. The certificate and key files should only be accessible by the Identity Manager Instrumentation, which loads locally on the server.

The following sections review the steps to protect custom certificates on each Identity Audit server platform.

6.6.1 Windows

On Windows, the custom certificate and private key files are also protected by file system trustees. The eDirectory instrumentation certificate files to protect are `\`*`windows_directory`*`\dxicert.pem` and `\`*`windows_directory`*`\dxipkey.pem`.

To limit access to the private key files:

1 Grant the auditor user full object rights to the key files.

2 Give the SYSTEM account read rights to the key files.

3 Do not allow inherited rights from any file to be propagated to the key files.

NOTE: The owner of a file can always change the rights. System administrators can take ownership of a file. Do not grant excessive numbers of users Administrator rights to the server.

6.6.2 Linux and Solaris

On Linux and Solaris, the private key is stored in `/etc/dxipkey.pem`.

To limit access to the private key file:

1 Grant the root user rights to the file.

You can also grant rights to the auditor and the `root` group. Do not grant read rights to other users of the system.

2 Assign mode 0400 to the file; verify that the owner of the file is `root`.

If you have granted rights to the auditor and the `root` group, assign mode 0440 to the file.

Identity Manager Events

A

This section provides a listing of all Novell® Audit events logged by Identity Manager.

NOTE: Identity Audit provides the ability to send a notification when a specific event occurs or does not occur. Notifications can be sent based on any value in one or more events. Notifications can be sent to any logging channel, enabling you to log notifications to a database, a Java application or SNMP management system, or several other locations. For details on creating Identity Audit notifications based on Identity Manager events, see Configuring Filters and Event Notifications (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al0lg08.html) in the *Novell Audit 2.0 Administration Guide*.

A.1 Event Structure

All events logged through Identity Audit have a standardized set of fields. This allows Identity Audit to log events to a structured database and query events across all logging applications.

Identity Manager events provide information in the following field structure:

EventID, Description, Originator Title, Target Title, Subtarget Title, Text1 Title, Text2 Title, Text3 Title, Value1 Title, Value1 Type, Value2 Title, Value2 Type, Value3 Title, Value3 Type, Group Title, Group Type, Data Title, Data Type, Display Schema.

For a complete explanation of the event structure, see Event Structure (http://www.novell.com/documentation/novellaudit20/index.html?page=/documentation/novellaudit20/novellaudit20/data/al9m381.html) in the *Novell Audit 2.0 Administration Guide*.

A.2 Error and Warning Events

Identity Manager generates an event whenever an error or warning is encountered. The following table lists the Identity Manager error and warning events:

Table A-1 *Error and Warning Events*

Event	Log Level	Information
DirXML_Error	LOG_ERROR	All Identity Manager errors log this event. The actual error code encountered is stored in the event.
		To log errors, select the *Log Errors* or *Log Errors and Warnings* log level on the driver set or the individual driver. You can also select the *Log Specific Events* option and select this event. For more information, see Section 5.1, "Setting the Log Level and Maximum Log Size," on page 27.
DirXML_Warning	LOG_WARNING	All Identity Manager warnings log this event. The actual warning code encountered is stored in the event.
		To log errors, select the *Log Errors* or *Log Errors and Warnings* log level on the driver set or the individual driver. You can also select the *Log Specific Events* option and select this event. For more information, see Section 5.1, "Setting the Log Level and Maximum Log Size," on page 27.

A.3 Job Events

The following table lists the Job events that can be audited through Identity Audit or Novell Sentinel™:

Table A-2 *Job Events*

Event ID	Description	Trigger
303E4	Job Result Aborted	Occurs when a running job is aborted by a client.
303E5	Job Result Error	Occurs when a running job reports an error for some operation. (A running job can report status multiple times during the job execution.)
303E6	Job Result Warning	Occurs when a running job reports a warning for some operation.
303E7	Job Result Success	Occurs when a running job reports success for some operation.

See Section A.13, "Log Schema Files," on page 49 for information on understanding the logged events.

A.4 Remote Loader Events

The following table lists the Remote Loader events that can be audited through Identity Audit or Novell Sentinel:

Table A-3 *Remote Loader Events*

Event ID	Description	Trigger
30BB8	Remote Loader Start	Occurs when the Remote Loader starts.
30BB9	Remote Loader Stop	Occurs when the Remote Loader stops.
30BBA	Remote Loader Connection Established	Occurs when the engine establishes a TCP connection with the Remote Loader.
30BBB	Remote Loader Connection Dropped	Occurs when the engine-to-Remote Loader connection is lost.

IMPORTANT: To log these events, you must select the *Log Specific Events* log level and select the events you want to log. For more information, see Section 5.1, "Setting the Log Level and Maximum Log Size," on page 27.

See Section A.13, "Log Schema Files," on page 49 for information on understanding the logged events.

A.5 Object Events

The following table lists the object events that can be audited through Identity Audit or Novell Sentinel:

Table A-4 *Object Events*

Event ID	Description	Trigger
31400	Delete_Entity	Occurs when a password change fails.
31401	Update_Entity	Occurs when an object is modified.
31440	Create_Entity	Occurs when an object is created.

See Section A.13, "Log Schema Files," on page 49 for information on understanding the logged events.

A.6 Password Events

The following link lists the change password events that can be audited through Identity Audit or Novell Sentinel:

Table A-5 *Password Events*

Event ID	Description	Trigger
31410	Change_Password_Failure	Occurs when a password change fails.
31411	Change_Password_Success	Occurs when a password change is successful.
31420	Forgot_Password_Change_Failure	Occurs when the Forgot Password change fails.
31421	Forgot_Password_Change_Success	Occurs when the Forgot Password change is successful.

See for information on understanding the logged events.

A.7 Search List Events

The following table lists search list events that can be audited through Identity Audit or Novell Sentinel:

Table A-6 *Search List Events*

Event ID	Description	Trigger
31430	Search_Request	Occurs when a user performs a search request.
31431	Search_Saved	Occurs when the user selects My Saved Searches.

See for information on understanding the logged events.

A.8 Engine Events

The following table lists the engine events that can be audited through Identity Audit or Novell Sentinel:

Table A-7 *Engine Events*

Event ID	Description	Trigger
30001	Status Success	Many different events can cause the status success event to occur. It usually signifies that an operation was successfully completed.
30002	Status Retry	Many different events can cause the status retry event to occur. It signifies an operation was not completed and the operation must be tried again later.
30003	Status Warning	Many different events can cause the status warning event to occur. It usually signifies that an operation was completed with minor problems.

Event ID	Description	Trigger
30004	Status Error	Many different events can cause the status error event to occur. It usually signifies that an operation was not completed successfully.
30005	Status Fatal	Many different events can cause the status fatal event to occur. It usually signifies that an operation was not completed successfully and the engine or driver could not continue.
30006	Status Other	Any status document processed with a level other than the five previously defined creates a status other event. These events can only be generated within a style sheet or rule.
30007	Search	Occurs when a query document is sent to the IDM engine or driver.
30008	Add Entry	Occurs when an object is added.
30009	Delete Entry	Occurs when an object is deleted.
3000A	Modify Entry	Occurs when an object is modified.
3000B	Rename Entry	Occurs when an object is renamed.
3000C	Move Entry	Occurs when an object is moved.
3000D	Add Association	Occurs when an association is added. It can happen on an add or a match.
3000E	Remove Association	When an object is deleted, there is no remove association event. The remove association occurs when a User object is deleted in the disparate application, and the delete is then converted into a modify that removes the association.
3000F	Query Schema	Occurs when a query schema operation is sent to the IDM engine or driver.
30010	Check Password	Manual function that is initiated via iManager.
30011	Check Object Password	Occurs when a request is issued to check an object's password, other than the driver.
30012	Change Password	Occurs when a request is issued to check the driver's password.
30013	Sync	Occurs when a sync event is requested.
30014	Input XML Document	Generated whenever an input document is created by the engine or driver.
30015	Input Transformation Document	Generated after the input transformation policies are processed, allowing the user to view the transformed document.
30016	Output Transformation Document	Generated after the output transformation policies are processed, allowing the user to view the transformed document.
30017	Event Transformation Document	Generated after the event transformation policies are processed, allowing the user to view the transformed document.

Event ID	Description	Trigger
30018	Placement Rule Transformation Document	Generated after the Placement rule policies are processed, allowing the user to view the transformed document.
30019	Create Rule Transformation Document	Generated after the Create rule policies are processed, allowing the user to view the transformed document.
3001A	Input Mapping Rule Transformation Document	Generated after the Schema Mapping rules are processed which convert the document to the eDirectory schema.
3001B	Output Mapping Rule Transformation Document	Generated after the Schema Mapping rules are processed which convert the document to the applications schema.
3001C	Matching Rule Transformation Document	Generated after the Matching rule policies are processed, allowing the user to view the transformed document.
3001D	Command Transformation Document	Generated after the command transformation policies are processed, allowing the user to view the transformed document.
3001E	Publisher Filter Transformation Document	Generated after the processing the notify filter on the Publisher channel, allowing the user to view the transformed document.
3001F	User Agent Request	Occurs when a User Agent XDS command document is sent to the Driver on the Subscriber channel.
30020	Resync Driver	Occurs when a resync request is issued.
30021	Migrate	Occurs when a migrate request is issued.
30022	Driver Start	Occurs when a driver is started.
30023	Driver Stop	Occurs when a driver is stopped.
30024	Password Sync	Generated when setting the distribution or simple password on an object.
30025	Password Reset	Generated when resetting the connected application password after a failed password sync operation.
30026	DirXML Error	Generated whenever the engine throws an internal error.
30027	DirXML Warning	Generated whenever the engine throws an internal warning.
30028	Custom Operation	Occurs when an unknown operation appears in an input document. An example of known operations would be an add, delete, or modify.
30029	Clear Attribute	Occurs when a modify operation contains a remove-all-value element.
3002A	Add Value - Modify Entry	Occurs when a value is added during the modification of an object.
3002B	Remove Value	Occurs when a modify operation contains a remove-value element.
3002C	Merge Entries	Occurs when two objects are being merged.

Event ID	Description	Trigger
3002D	Get Named Password	Generated on a Get Named Password operation.
3002E	Reset Attributes	Occurs when a Reset document is issued on the publisher or Subscriber channels.
3002F	Add Value - Add Entry	Occurs when a value is added during the creation of an object.
30030	Set SSO Credential	Occurs when a driver policy executes the do-set-sso-credential action.
30031	Clear SSO Credential	Occurs when a driver policy executes the do-clear-sso-credential action.
30032	Set SSO Passphrase	Occurs when a driver policy executes the do-clear-sso-credential action.

See for information on understanding the logged events.

A.9 Server Events

The following table lists the server events that can be audited through Identity Audit or Novell Sentinel:

Table A-8 *Server Events*

Event ID	Description	Trigger
307D0	Config:Log Events	Occurs when the log events attribute is changed on the Driver or Driver Set object.
307D1	Config:Driver Cache Limit	Occurs when the Driver Cache Limit attribute is changed on a Driver object.
307D2	Config:Driver Set	Occurs when the Driver Set/Server association is changed.
307D3	Config:Driver Start Option	Occurs when the Driver Start Option is changed for a Driver object.
307D4	Driver Resync	Occurs when a resynchronization is issued for the driver.
307D5	Migrate Application Server	Occurs when the migration of the application server happens.
307D6	Shim Password Set	Occurs when the Application password is set.
307D7	Keyed Password Set	Occurs when the IDM engine receives a client request to set a named password on an object.
307D8	Remote Loader Password Set	Occurs when the Remote Loader password is set.
307DA	Get Server Certificate	Occurs when the IDM engine receives a client request for the engine's public key certificate (used in encrypting passwords with IDM verbs).

Event ID	Description	Trigger
307DB	Cache Utility	Occurs when the IDM engine receives a client request for the engine's public key certificate (used in encrypting passwords with IDM verbs).
307DC	Check Object Password	Occurs when the IDM engine receives a client request asking the engine to check if an eDir object's nspmDistributionPassword value matches the password value in a connected system.
307DD	Initialize Driver Object	Occurs when the IDM engine receives a client request to initialize a DirXML-Driver object.
307DE	Notify Job Update	Occurs when the IDM engine receives a client request informing the engine that a DirXML-Job object has changed and that the engine needs to update the information it has cached about the job object.
307DF	Open Driver Action	Occurs when the IDM engine receives a client request to submit a command or event document directly to a driver.
307E0	Queue Driver Event	Occurs when the IDM engine receives a client request to submit a command document to a driver's event queue.
307E1	Start Job	Occurs when a job starts.
307E2	Abort Job	Occurs when a job aborts.

See for information on understanding the logged events.

A.10 Security Events

The following table lists security events that can be audited through Identity Audit or Novell Sentinel:

Table A-9 *Security Events*

Event ID	Description	Trigger
31450	Create_Proxy_Definition_Success	Occurs on successful creation of a proxy definition.
31451	Create_Proxy_Definition_Failure	Occurs on failed creation of a proxy definition.
31452	Update_Proxy_Definition_Success	Occurs on successful update of a proxy definition.
31453	Update_Proxy_Definition_Failure	Occurs on failed update of a proxy definition.
31454	Delete_Proxy_Definition_Success	Occurs on successful deletion of a proxy definition.
31455	Delete_Proxy_Definition_Failure	Occurs on failed deletion of a proxy definition.
31456	Create_Delegatee_Definition_Success	Occurs on successful creation of a delegatee definition.
31457	Create_Delegatee_Definition_Failure	Occurs on failed creation of a delegatee definition.
31458	Update_Delegatee_Definition_Success	Occurs on successful update of a delegatee definition.

Event ID	Description	Trigger
31459	Update_Delegatee_Definition_Failure	Occurs on failed update of a delegatee definition.
3145A	Delete_Delegatee_Definition_Success	Occurs on successful deletion of a delegatee definition.
3145B	Delete_Delegatee_Definition_Failure	Occurs on failed deletion of a delegatee definition.
3145C	Create_Availability_Success	Occurs on successful creation of the availability status.
3145D	Create_Availability_Failure	Occurs on failed creation of the availability status.
3145E	Delete_Availability_Success	Occurs on successful deletion of the availability status.
3145F	Delete_Availability_Failure	Occurs on failed deletion of the availability status.

See for information on understanding the logged events.

A.11 Workflow Events

The following table lists User Application events that can be audited through Identity Audit or Novell Sentinel:

Table A-10   *Workflow Events*

Event ID	Description	Trigger
31520	Workflow_Error	Occurs when there is a workflow error.
31521	Workflow_Started	Occurs when the workflow starts.
31522	Workflow_Forwarded	Occurs when the workflow is forwarded.
31523	Workflow_Reassigned	Occurs when the workflow is reassigned.
31524	Workflow_Approved	Occurs when the workflow is approved.
31525	Workflow_Refused	Occurs when the workflow is refused.
31526	Workflow_Ended	Occurs when the workflow ends.
31527	Workflow_Claimed	Occurs when the workflow is claimed.
31528	Workflow_Unclaimed	Occurs when the workflow is not claimed.
31529	Workflow_Denied	Occurs when the workflow is denied.
3152A	Workflow_Completed	Occurs when the workflow is completed.
3152B	Workflow_Timedout	Occurs when the workflow timed out.
3152C	User_Message	This is a user adhoc log message.
3152D	Provision_Error	Occurs when there is an error in the provisioning step.
3152E	Provision_Submitted	Occurs during the provisioning step on submission of entitlements.

Event ID	Description	Trigger
3152F	Provision_Success	Occurs during the provisioning step on successful completion of the step.
31530	Provision_Failure	Occurs during the provisioning step upon failure of the step.
31531	Provision_Granted	Occurs during the provisioning step on granting of an entitlement.
31532	Provision_Revoked	Occurs during the provisioning step on the revoking of an entitlement.
31533	Workflow_Retracted	Occurs when the workflow is retracted.
31534	Workflow_Escalated	Occurs when the workflow is escalated.
31535	Workflow_Reminder_Sent	Occurs when reminders are sent to addressees of a workflow task.
31536	Digital_Signature	Occurs whenever a digital signature is passed to the workflow engine.
31470	Digital_Signature_Verification_Request	Occurs when a digital signature request is verified.
31471	Digital_Signature_Verification_Failure	Occurs if a digital signature is invalid.
31472	Digital_Signature_Verification_Success	Occurs upon successful verification of a digital signature.
31537	Workflow_ResetPriority	Occurs when the priority of a workflow task is reset.

See Section A.13, "Log Schema Files," on page 49 for information on understanding the logged events.

A.12 Driver Start and Stop Events

Identity Manager can generate an event whenever a driver starts or stops. The following table contains details about these events:

Table A-11 *Driver Start and Stop Events*

Event	Log Level	Information
EV_LOG_DRIVER_START	LOG_INFO	To log driver starts, select the *Log Specific Events* log level and specify this event. For more information, see Section 5.1, "Setting the Log Level and Maximum Log Size," on page 27
EV_LOG_DRIVER_STOP	LOG_WARNING	To log driver stops, select the *Log Errors and Warnings* log level, or select the *Log Specific Events* log level and specify this event. For more information, see Section 5.1, "Setting the Log Level and Maximum Log Size," on page 27.

See Section A.13, "Log Schema Files," on page 49 for information on understanding the logged events.

A.13 Log Schema Files

Log Schema (LSC) files catalog the events that can be logged for a given application. They also provide event descriptions and field titles, although this is optional. For information on creating Log Schema files, see the Novell Audit SDK (http://developer.novell.com/ndk/naudit.htm).

A.13.1 How LSC Files Are Used

The information stored in the log schema files—specifically Event IDs, Group IDs, Text and Numeric field values—is useful in defining query statements, Notification Filters, and Heartbeat Notifications. For example, if you want to receive a notification when Remote Loader stops, you must first look up the Event ID for the Remote Loader Stop event in the dirxml log schema. You can then configure a Notification Filter that selects events with an Event ID of 00030BB9.

For more information on Log Schema files, refer to Log Schema Files (http://www.novell.com/documentation/novellaudit20/novellaudit20/data/alg2t8z.html) in the *Novell Audit 2.0 Administration Guide*.

Identity Audit Reports

B

This section provides examples of the following Novell® Audit reports for Identity Manager and the events associated with each report:

B.1 Administrative Action Report

The Administrative Action Report is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-1 *Administration Action Events*

Event ID	Description	Trigger
31400	Delete_Entity	Occurs when an object is deleted.
31401	Update_Entity	Occurs when an object is modified.

Figure B-1   *Administrative Action Report*
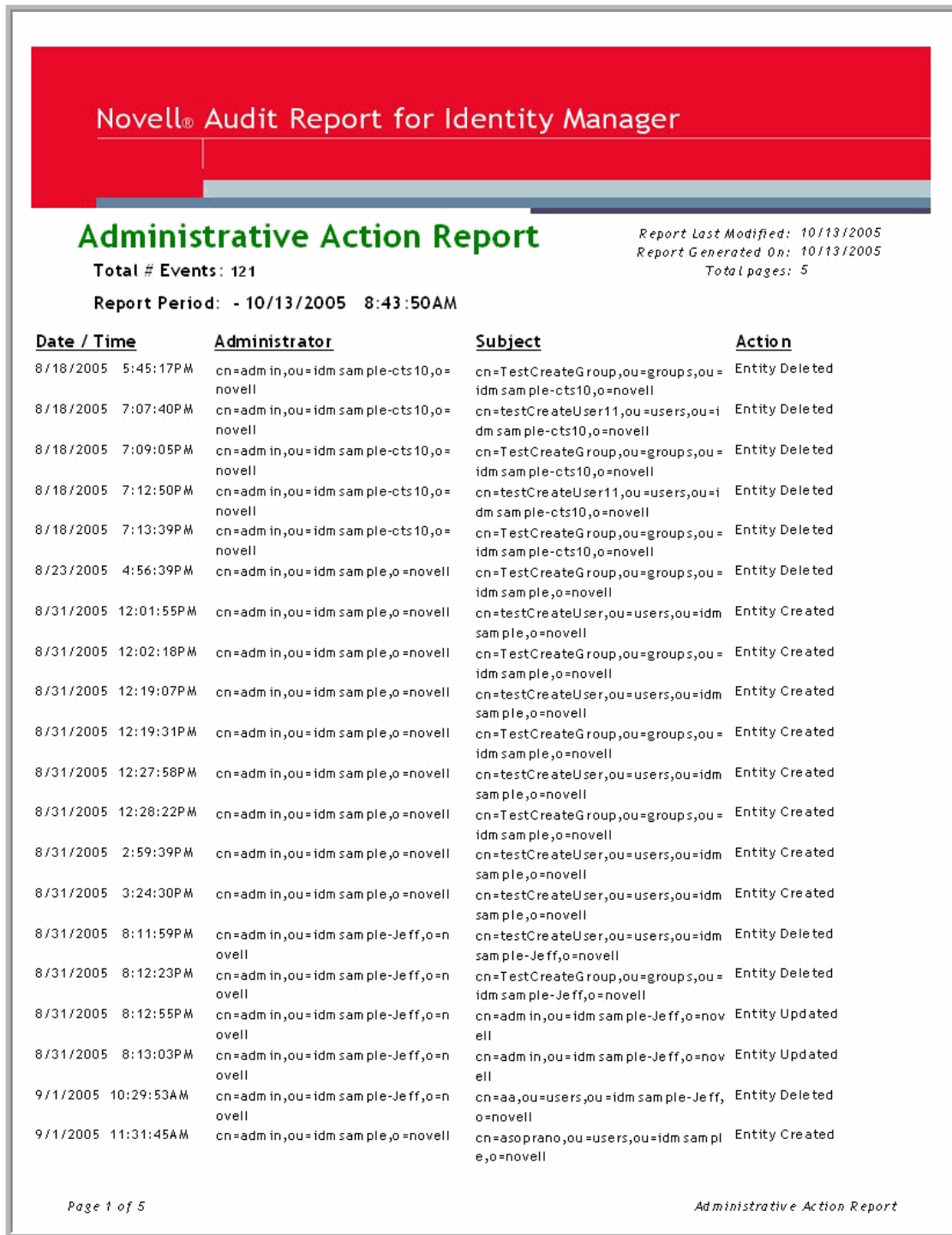
B.2 Historical Approval Flow Report

The Historical Approval Report is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-2 *Historical Approval Events*

Event ID	Description	Trigger
31520	Workflow_Error	Occurs when there is a workflow error. Many errors can trigger this event.
31521	Workflow_Started	Occurs when the workflow starts.
31522	Workflow_Forwarded	Occurs when the workflow is forwarded.
31523	Workflow_Reassigned	Occurs when the workflow is reassigned.
31524	Workflow_Approved	Occurs when the workflow is approved.
31525	Workflow_Refused	Occurs when the workflow is refused.
31526	Workflow_Ended	Occurs when the workflow ends.
31527	Workflow_Claimed	Occurs when the workflow is claimed.
31528	Workflow_Unclaimed	Occurs when the workflow is not claimed.
31529	Workflow_Denied	Occurs when the workflow is denied.
3152A	Workflow_Completed	Occurs when the workflow is completed.
3152B	Workflow_Timedout	Occurs when the workflow timed out.
31533	Workflow_Retracted	Occurs when the workflow is retracted.

Figure B-2 *Historical Approval Flow Report*


B.3 Resource Provisioning Report

The Resource Provisioning Report is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-3 *Provisioning Events*

Event ID	Description	Trigger
3152D	Provision_Error	Occurs when there is an error in the provisioning step.
3152E	Provision_Submitted	Occurs on submission of entitlements during the provisioning step.
3152F	Provision_Success	Occurs on successful completion of the step during the provisioning step.
31530	Provision_Failure	Occurs upon failure of the step during the provisioning step.
31531	Provision_Granted	Occurs on granting of an entitlement during the provisioning step.
31532	Provision_Revoked	Occurs on the revoking of an entitlement during the provisioning step.

Figure B-3 *Resource Provisioning Report*
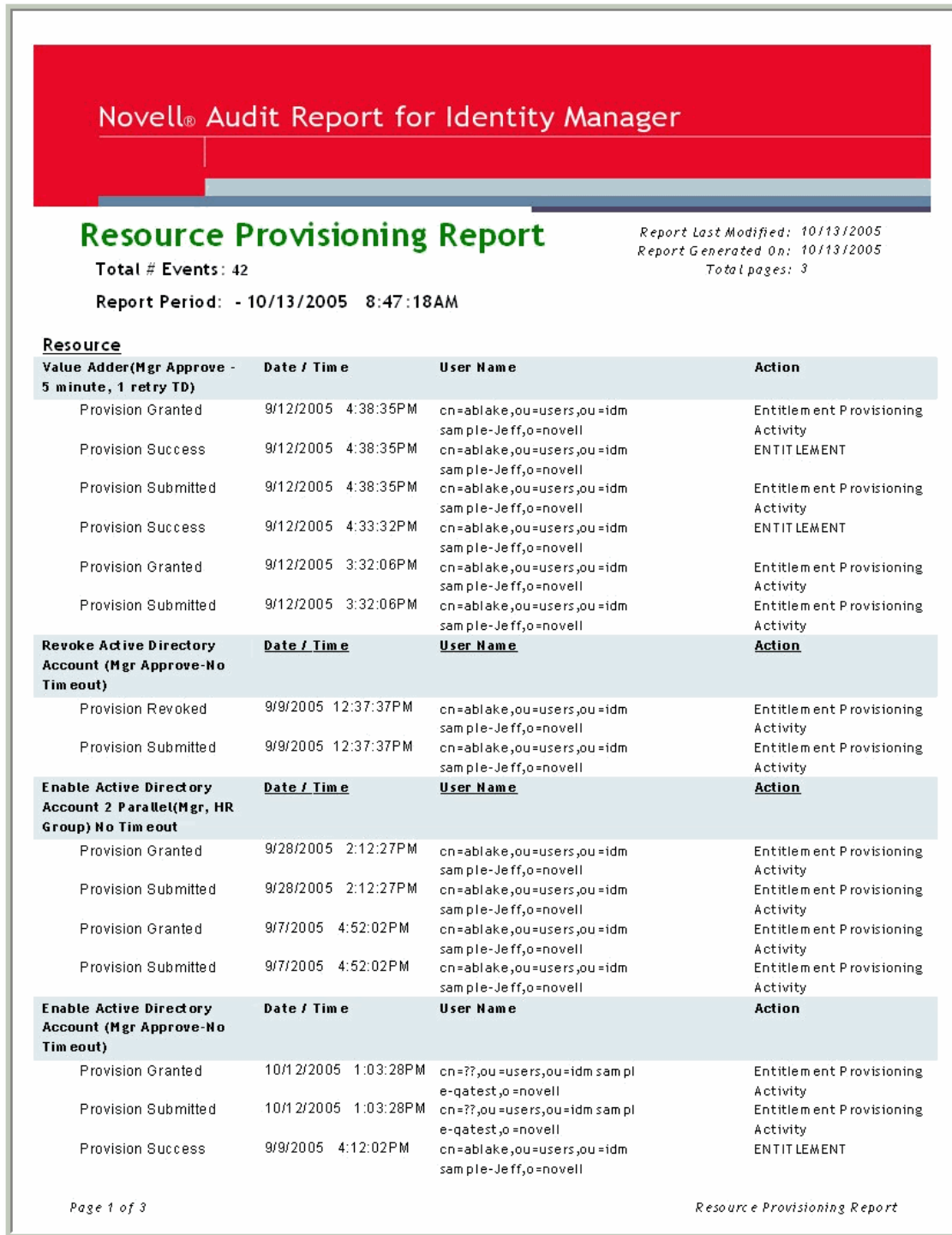
B.4 Specific User Audit Trail Report I

The Specific User Audit Trail Report I is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-4   *User Audit Trail Events*

Event ID	Description	Trigger
31520	Workflow_Error	Occurs when there is a workflow error. Many errors can trigger this event.
31521	Workflow_Started	Occurs when the workflow starts.
31522	Workflow_Forwarded	Occurs when the workflow is forwarded.
31523	Workflow_Reassigned	Occurs when the workflow is reassigned.
31524	Workflow_Approved	Occurs when the workflow is approved.
31525	Workflow_Refused	Occurs when the workflow is refused.
31526	Workflow_Ended	Occurs when the workflow ends.
31527	Workflow_Claimed	Occurs when the workflow is claimed.
31528	Workflow_Unclaimed	Occurs when the workflow is not claimed.
31529	Workflow_Denied	Occurs when the workflow is denied.
3152A	Workflow_Completed	Occurs when the workflow is completed.
3152B	Workflow_Timedout	Occurs when the workflow timed out.
31533	Workflow_Retracted	Occurs when the workflow is retracted.

Figure B-4   *Specific User Audit Trail 1*

B.5 Specific User Audit Trail Report II

The Specific User Audit Trail Report II is generated from the events listed in the following table.
For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-5 *User Audit Trail Events*

Event ID	Description	Trigger
30007	Search	Occurs when a query document is sent to the Metadirectory engine or driver.
31410	Change_Password_Failure	Occurs when a password change fails.
31411	Change_Password_Success	Occurs when a password change is successful.
31420	Forgot_Password_Change_Failure	Occurs when the Forgot Password change fails.
31421	Forgot_Password_Change_Success	Occurs when the Forgot Password change is successful.

Figure B-5   *Specific User Audit Trail 2*

B.6 Specific User Audit Trail III

The Specific User Audit Trail III Report is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-6   *Administration Action Events*

Event ID	Description	Trigger
31400	Delete_Entity	Occurs when an object is deleted.
31401	Update_Entity	Occurs when an object is modified.

Figure B-6   *Specific User Audit Trail 3*

Administrative Actions

Date / Time	Administrator	Subject	Action
9/28/2005 2:27:10PM	cn=admin,ou=idmsample,o=novell	cn=ablake,ou=users,ou=idmsample,o=novell	Entity Updated
10/5/2005 5:22:37PM	cn=admin,ou=idmsample,o=novell	cn=ablake,ou=users,ou=idmsample,o=novell	Entity Updated

Page 1 of 1   *AdministrativeActionSub.rpt*

Page 1 of 1   *Specific User Audit Trail*

B.7 Specific User Provisioning Report

The Specific User Provisioning Report is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-7 *Provisioning Events*

Event ID	Description	Trigger
3152D	Provision_Error	Occurs when there is an error in the provisioning step.
3152E	Provision_Submitted	Occurs on submission of entitlements during the provisioning step.
3152F	Provision_Success	Occurs on successful completion of the step during the provisioning step.
31530	Provision_Failure	Occurs upon failure of the step during the provisioning step.
31531	Provision_Granted	Occurs on granting of an entitlement during the provisioning step.
31532	Provision_Revoked	Occurs on the revoking of an entitlement during the provisioning step.

Figure B-7   *Specific User Provisioning Report*
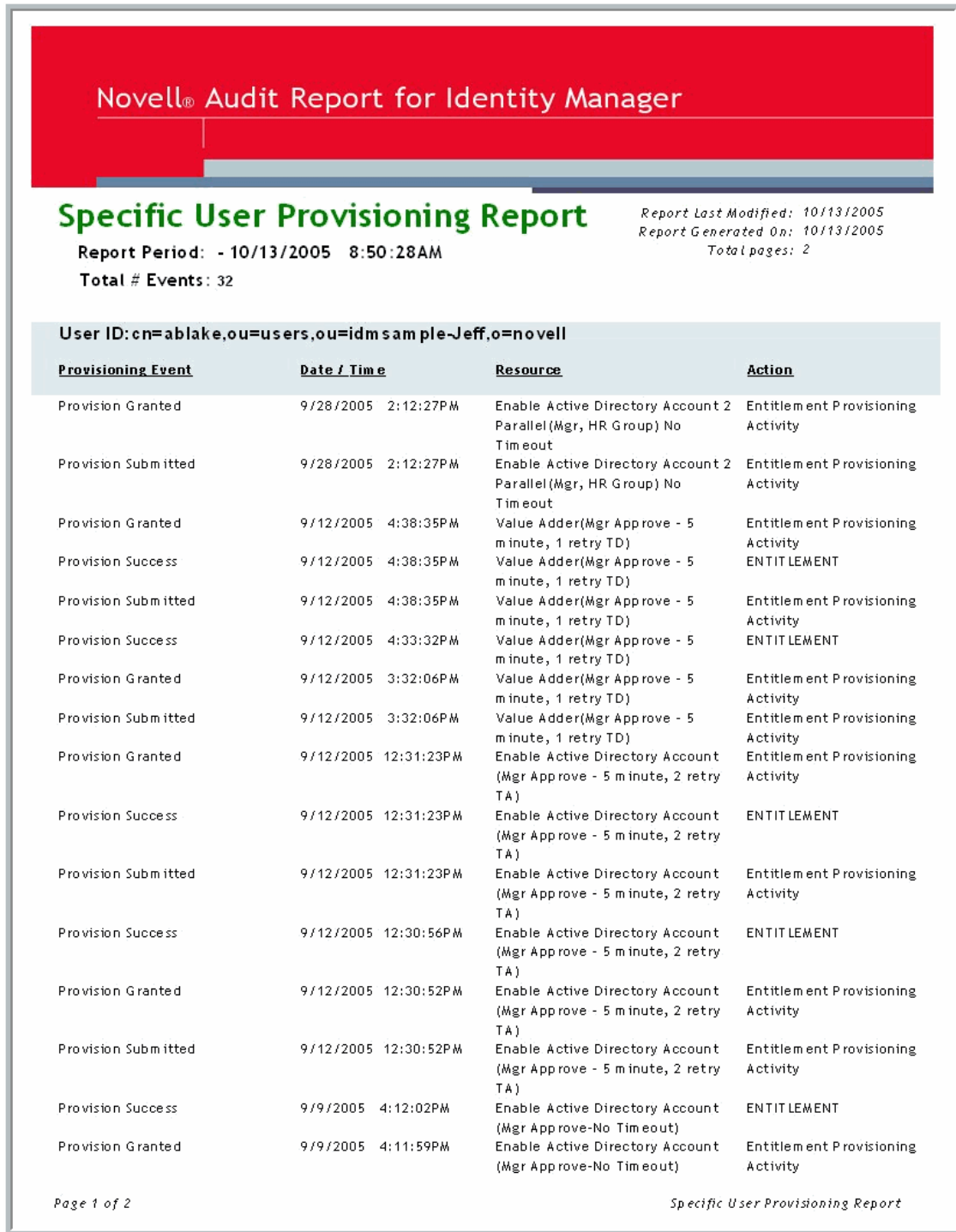
B.8 User Provisioning Report

The User Provisioning Report is generated from the events listed in the following table. For more information on the events, see Appendix A, "Identity Manager Events," on page 39.

Table B-8 *Provisioning Events*

Event ID	Description	Trigger
3152D	Provision_Error	Occurs when there is an error in the provisioning step.
3152E	Provision_Submitted	Occurs on submission of entitlements during the provisioning step.
3152F	Provision_Success	Occurs on successful completion of the step during the provisioning step.
31530	Provision_Failure	Occurs upon failure of the step during the provisioning step.
31531	Provision_Granted	Occurs on granting of an entitlement during the provisioning step.
31532	Provision_Revoked	Occurs on the revoking of an entitlement during the provisioning step.

Figure B-8   *User Provisioning Report*

Novell® Audit Report for Identity Manager

User Provisioning Report

Total # Events: 42

Report Period: - 10/13/2005   8:54:20AM

Report Last Modified: 10/13/2005
Report Generated On: 10/13/2005
Total pages: 3

User

cn=ablake,ou=users,ou=idmsample-Jeff,o=novell	Date / Time	Resource	Action
Provision Granted	9/28/2005 2:12:27PM	Enable Active Directory Account 2 Parallel(Mgr, HR Group) No Timeout	Entitlement Provisioning Activity
Provision Submitted	9/28/2005 2:12:27PM	Enable Active Directory Account 2 Parallel(Mgr, HR Group) No Timeout	Entitlement Provisioning Activity
Provision Granted	9/12/2005 4:38:35PM	Value Adder(Mgr Approve - 5 minute, 1 retry TD)	Entitlement Provisioning Activity
Provision Success	9/12/2005 4:38:35PM	Value Adder(Mgr Approve - 5 minute, 1 retry TD)	ENTITLEMENT
Provision Submitted	9/12/2005 4:38:35PM	Value Adder(Mgr Approve - 5 minute, 1 retry TD)	Entitlement Provisioning Activity
Provision Success	9/12/2005 4:33:32PM	Value Adder(Mgr Approve - 5 minute, 1 retry TD)	ENTITLEMENT
Provision Granted	9/12/2005 3:32:06PM	Value Adder(Mgr Approve - 5 minute, 1 retry TD)	Entitlement Provisioning Activity
Provision Submitted	9/12/2005 3:32:06PM	Value Adder(Mgr Approve - 5 minute, 1 retry TD)	Entitlement Provisioning Activity
Provision Granted	9/12/2005 12:31:23PM	Enable Active Directory Account (Mgr Approve - 5 minute, 2 retry TA)	Entitlement Provisioning Activity
Provision Success	9/12/2005 12:31:23PM	Enable Active Directory Account (Mgr Approve - 5 minute, 2 retry TA)	ENTITLEMENT
Provision Submitted	9/12/2005 12:31:23PM	Enable Active Directory Account (Mgr Approve - 5 minute, 2 retry TA)	Entitlement Provisioning Activity
Provision Success	9/12/2005 12:30:56PM	Enable Active Directory Account (Mgr Approve - 5 minute, 2 retry TA)	ENTITLEMENT
Provision Granted	9/12/2005 12:30:52PM	Enable Active Directory Account (Mgr Approve - 5 minute, 2 retry TA)	Entitlement Provisioning Activity
Provision Submitted	9/12/2005 12:30:52PM	Enable Active Directory Account (Mgr Approve - 5 minute, 2 retry TA)	Entitlement Provisioning Activity
Provision Success	9/9/2005 4:12:02PM	Enable Active Directory Account (Mgr Approve-No Timeout)	ENTITLEMENT
Provision Granted	9/9/2005 4:11:59PM	Enable Active Directory Account (Mgr Approve-No Timeout)	Entitlement Provisioning Activity

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User Provisioning Report